DIP COATING PROCESS USING VISCOSITY

TO CONTROL COATING THICKNESS

Background and Summary

This disclosed device and method relates generally to manufacturing

5   photoreceptors for photocopier and printer devices and more particularly to a controller

and a method and device for controlling the thickness of a coating formed using

immersion or dip coating of a photoreceptor into a coating solution using viscosity of the

solution as a measured parameter.

Photocopiers and laser printers use toner and heat to produce an image on

10   a sheet of paper or other media in a process known as electro-photography. In the art of

electro-photography an electro-photographic plate or photoreceptor comprising a

photoconductive insulating layer on a conductive layer is imaged by first uniformly

electrostatically charging the imaging surface of the photoconductive insulating layer.

The photoreceptor is then exposed to a pattern of activating electromagnetic radiation

15   such as light, which selectively dissipates the charge in the illuminated areas of the

photoconductive insulating layer while leaving behind an electrostatic latent image in the

non-illuminated area. This electrostatic latent image may then be developed to form a

visible image by depositing finely divided toner particles on the surface of the

photoconductive insulating layer. The resulting visible toner image can be transferred to

20   a suitable receiving member such as paper. This imaging process may be repeated many

times with reusable photoconductive insulating layers.

The photoreceptors are usually multilayered drums or belts. These

photoreceptors comprise a substrate, an optional hole-blocking layer, a charge generating

layer, and a charge transport layer and, in some embodiments, an anti-curl backing layer.

In manufacturing photoreceptors for photocopiers, an organic photoconductor (OPC) is often used to coat the substrate. The OPC has a small dark current, is an inexpensive material, and yields high productivity due to ease of manufacturing. The OPC that has been used as an electrophotographic sensitive material includes a two layer structure of a

5   charge generation layer (CGL) and a charge transfer layer (CTL).

The reason why the charge transfer layer is needed is that the withstand voltage of the charge generation layer is low. The charge transfer layer is necessary to improve the withstand voltage of the OPC used as a light switch.

One common technique employed to manufacture photoreceptors involves

10   immersion or dip coating of the substrate. Dip coating comprises dipping or immersing an uncoated or coated substrate, such as a drum, into a coating vessel or dip tank containing a bath of liquid coating material. The dipped substrate is thereafter withdrawn and the liquid coating adhering thereto is dried.

The liquid coating material in the bath is generally circulated upwardly in

15   the dip tank from an inlet at the bottom of the dip tank and allowed to overflow from the bath. Typically, the coating is continuously fed into the bottom of the dip tank and allowed to continuously overflow from the dip tank. The overflowing coating liquid may be collected in a vessel forming a reservoir and recycled to the coating bath.

It is known to dip coat an object in a coating device containing a bath of

20   liquid coating material, a feeding inlet for feeding the coating material into the lower part of the coating bath, and a member for uniformizing the upward flow of the coating material from the lower part of the coating bath toward the upper part thereof. The member is located in the lower part of the coating bath and above the feeding inlet to

intercept and direct the upward flow of the coating material along the entire wall periphery of the coating bath and provide a uniform and smooth flow of coating material around each portion of the object immersed in the coating bath. The foregoing techniques are described in U.S. Pat. No. 4,620,996, the entire disclosure of which is

5   incorporated herein by this reference.

Typically, in a dip coating process, a coating solution or dispersion is applied to a drum. Dispersions usually comprise various components that are applied to a substrate to form an OPC including a charge generation layer and a charge transfer layer. These coating dispersions usually comprise two phases, such as solid particles dispersed

10   in a solution of a film forming binder dissolved in a solvent. This mixture forms a non-ideal dispersion. In an ideal coating mixture, viscosity remains constant regardless of the amount of shear applied to the coating mixture. However, such ideal mixtures do not exist. In non-ideal coating compositions such as dispersions, viscosity tends to diminish rapidly with shear. Changes in viscosity affect the coating thickness of the deposited

15   coating. This causes the coating on the surface of the drum to be uneven. The degree of uniformity of film thickness of the layers of the OPC on a photoreceptor contributes largely to its electrophotographic characteristics, thus, it is important to reduce unevenness in the thickness of these layers.

Typically, the charge transfer layer is applied using immersion or dip

20   coating of a drum. In this process, the CTL solution is pumped into the bottom of a dip tube and allowed to overflow the top opening of the dip tube. The drum is sized to be received in the dip tube. The drum is lowered into the dip tube and then raised out of the dip tube. During raising of the drum from the dip tube, a meniscus forms at the surface

of the solution and the exterior surface of the drum as a result of the surface tension of the liquid. The surface tension of the CTL solution affects the thickness of the coating of the drum.

The thickness of the CTL coating applied to a drum by the immersion

5   coating process is dependent upon the surface tension of the CTL solution which is in turn dependent on the viscosity of the coating solution. In a typical immersion coating process, pump motors turn pump impellers that drive the CTL solution. Prior art dip coating processes typically set the pump speed at a rate that results in an upward flow rate of the CTL solution within the dip tube. The drum is dipped by lowering the drum into

10   the tube. After being immersed for a period of time, the drum is removed from the dip tube by raising it out of the dip tube. In prior art dip coating processes, the drum is raised out of the dip tube at a rate of approximately three millimeters per second, i.e. the pull rate. The upward rate of flow of the CTL solution within the dip tube is approximately five millimeters per second in the prior art process. Thus there is a differential between

15   the upward flow rate of the CTL solution in the tube and the pull rate of the drum of approximately two millimeters per second. This difference affects the shear rate of the solution at the meniscus. This differential creates a surface tension that affects the thickness of the CTL layer on the drum.

In prior art dip coating operations, the thickness of the CTL layer on the

20   drum is controlled by controlling the pull rate of the drum. After drying, the thickness of the CTL layer is tested. If the CTL layer is too thick, the drum is discarded and the pull rate is increased to reduce the thickness of the CTL layer on subsequent drums. If the CTL layer is too thin, the out of tolerance drum is discarded and the pull rate is decreased

to increase the thickness of the CTL on subsequent drums. Thus, there exists a need for

improved quality control over the immersion coating process to reduce the material loss.

In accordance with one aspect of the disclosure, a method of

manufacturing a photoconductive switching element includes the steps of providing a

5   drum to be coated with a photoreceptor, providing a tube having an upper opening sized

to receive the drum therethrough and configured to act as a CTL solution outlet and a

CTL inlet lower than the CTL outlet, providing a motor driven pump for circulating CTL

solution through the tube by forcing the CTL solution through the lower inlet, dipping the

photoreceptor drum in the tube, withdrawing the photoreceptor drum from the tube,

10   measuring the viscosity of the CTL solution and altering the pump motor angular velocity

to control the thickness of the CTL solution deposited on the photoreceptor drum.

According to a second aspect of the disclosure a method of controlling the

thickness of a coating layer on a coated article manufactured using an immersion or dip

coating process utilizing a dip tank through which a coating solution is pumped at an

15   initial flow rate by a motor driven pump includes dipping, sensing, withdrawing and

adjusting steps. The dipping step involves dipping the article in the dip tank. The

sensing step involves sensing the viscosity of the coating solution. The withdrawing step

involves withdrawing the article from the dip tank at a pull rate, said pull rate and said

flow rate exhibiting a differential rate. The adjusting step involves adjusting the

20   differential rate at a time between the beginning of the dipping step and the end of the

withdrawal step in response to the sensed viscosity. The differential rate may be adjusted

by altering the flow rate of the coating solution.

According to yet another aspect of the disclosure a dip coating apparatus for immersion coating an article with a coating layer of a solution is provided. The apparatus includes a dip tank, a solution pumping system a controller and a viscometer. The dip tank is configured to receive the article therein and includes an upper opening

5   sized to permit the article to pass therethrough, a solution outlet, and a solution inlet situated below the solution outlet. The solution pumping system pumps solution at a pump rate into the inlet of the dip tank to generate a vertical flow of solution within the dip tank between the inlet and the outlet. The pumping system includes a motor driven pump fluidly coupled to a source of solution and the inlet of the dip tank. The controller

10   adjusts the pump rate. The adjustments to the pump rate vary the vertical flow rate of the solution. The viscometer measures the viscosity of the solution. The viscometer provides an input to the controller indicative of the measured viscosity of the solution. The controller adjusts the pump rate in response to the measured viscosity of the solution.

Additional features and advantages of the present invention will become

15   apparent to those skilled in the art upon consideration of the following detailed description of preferred embodiments exemplifying the best mode of carrying out the invention as presently perceived.

Brief Description of the Drawings

A more complete understanding of the disclosed methods and apparatus

20   can be obtained by reference to the accompanying drawings wherein:

Fig. 1 is a schematic elevation view with parts broken away of a coating vessel or dip tank;

Fig. 2 is a schematic elevation view with parts broken away of the dip tank

shown in Fig. 1 containing a drum substrate which has an outside diameter that is only

slightly smaller than the inside diameter of the dip tank;

Fig. 3 is a schematic elevation view with parts broken away of the dip tank

and substrate of Fig. 2 with the substrate partially withdrawn from the dip tank during

5 during immersion or dip coating of the substrate with a solution held in the dip tank;

Fig. 4 is a view of the section of Fig. 3 indicated by circle 4 showing the

meniscus formed between the surface of the solution and the outside surface of the

substrate and a coating of the solution adhering to the substrate;

Fig. 5 is a view similar to Fig. 4 showing a lower viscosity solution

10 generating a thicker coating and a larger meniscus between the surface of the solution and

the surface of the substrate;

Fig. 6 is a schematic illustration of a coating system for immersion coating

a substrate with a layer of a solution circulated through dip tanks by a motor driven pump

controlled in part by an error signal generated from the signal of a viscometer;

15 Fig. 7 is a block diagram of the disclosed system for controlling the

thickness of a coating showing a viscometer sensing the viscosity of a solution flowing

through a dip tank, a comparator generating an error signal based on the difference

between the sensed viscosity and a setpoint viscosity, a logic circuit converting the

viscosity error signal into a motor angular velocity error signal, a motor driver converting

20 the angular velocity error signal into an angular velocity driver signal, a motor driven by

the angular velocity signal driving a pump circulating the solution;

Fig. 8 is a flow diagram of an implementation of the method of controlling the thickness of a coating on a substrate including an initialization step, a sensing step a determination step and a flow rate adjustment step;

Fig. 9 is a flow diagram of an implementation of the determination step;

5   and

Fig. 10 is a flow diagram of an implementation of the flow rate adjustment step of the flow rate adjustment step of Fig. 8.

These figures merely illustrate the disclosed methods and apparatus and are not intended to exactly indicate relative size and dimensions of the device or

10   components thereof.

Detailed Description Of The Drawings

A method of controlling the thickness of a coating on a photoreceptor manufactured using an immersion or dip coating process is disclosed herein. A coating

15   solution is pumped into a dip tank of an immersion coating system by a motor driven pump. In the disclosed method, the viscosity of the coating solution is sensed and the viscosity is adjusted by altering the flow rate of the coating solution within the coating system. Illustratively, the flow rate is altered by adjusting the angular velocity of the motor based upon the sensed viscosity. While the disclosed methods and apparatus is

20   described with reference to a manufacturing process whereby a photoreceptor drum of a xerography machine is coated with a charge transfer layer by immersion coating the drum, the disclosure will be applicable to other manufacturing processes and to components of other devices that receive a controlled layer of material.

Immersion or dip coating apparatus including article transfer apparatus facilitating the raising, lowering and transferring of an article 24 to be coated, a dip tube 12 sized to receive an article 24 to be coated and a coating solution circulation system are known. Such an immersion coating apparatus is disclosed in U.S. Patent No 6,207,337,

5   the disclosure of which is incorporated herein by this reference. Other article transfer apparatus are known and used in the immersion coating art. The disclosed method is described as being practiced utilizing such a transfer apparatus for lowering the substrate 24 into and raising the substrate 24 out of a dip tank 12 such as the dip tank shown in Figs. 1-4.

10   In the illustrated dip coating apparatus, the article to be coated is a cylindrical photoreceptor drum 24 of a photocopy machine. Thus, drum 24 has a length and a diameter. Consequently, the illustrated dip tank 12 has a length and diameter sufficient to facilitate receipt of the drum 24 substantially therein. The advantages of forming a dip tank 12 to conform closely to the shape and size of the article 24 to be

15   coated are well known in the art. It is also well known in the art to leave a small portion of the article 12 to be coated extending beyond the top of the 20 of the dip tank 12 during the coating process in the manner shown, for example, in Fig. 2.

As shown, for example, in Fig. 1, a liquid coating material 10 forms a bath in the coating vessel or dip tank 12 having a feed inlet 14, inverted funnel-shaped bottom

20   16, vertical cylindrical wall 18 and top edge 20. As indicated by the arrows, the coating material 10 enters the dip tank 12 through the feed inlet 14, flows upwardly along an inverted funnel-shaped wall 15 and upwardly parallel to the vertical cylindrical wall 18,

and overflows the top edge 20 of the vessel 12. The coating material that overflows top

edge 20 is captured in a collecting tank 22 (partially shown by phantom lines).

In Fig. 2, a dip tank 12 is illustrated with hollow cylindrical drum

substrate 24 almost totally submerged in liquid coating material 10. Drum substrate 24 is

5   suspended from a conventional mandrel 25 of an article transfer apparatus. The mandrel

25 grips the interior surface of drum substrate 24. Mandrel 25 also functions as an air

tight seal to trap air in the interior of drum substrate 24 when the drum substrate 24 is

immersed in the bath of liquid coating material 10 contained in vessel 12. In dip coating,

air trapped within the lower interior space of the hollow drum substrate 24 prevents the

10   liquid coating material 10 from entering and depositing on the interior surface of the

substrate 24 and the lower end of the mandrel 25. Usually, a narrow peripheral strip

around the top of drum substrate 24 is not submerged in the bath of coating material 10

and remains uncoated, as shown, for example, in Figs. 2 and 3. As is well known in the

dip coating art the mandrel 25 is connected to conventional transport means which lowers

15   the drum substrate 24 into the bath of liquid coating material 10 and thereafter raises

drum substrate 24 from the bath of liquid coating material 10. Examples of drum

transport devices in a dip coating system are illustrated in U.S. Pat. Nos. 4,620,996 and

6,207,337, the disclosures thereof being incorporated herein by this reference.

Subsequent to withdrawal from the bath of liquid coating material 10, drum substrate 24

20   carries a coating 27, 29 of the material from bath 10, as shown for example, in Figs. 3-5.

Hollow cylindrical drum substrate 24 has an outer diameter that is only

slightly smaller than the inner diameter of the dip tank 12. Thus, the radial spacing

between the outer surface of hollow cylindrical drum substrate 24 and inner surface or

wall of coating vessel 12 is extremely small. The drum substrate 24 should be substantially concentric with the inner surface of vertical cylindrical wall 18 of coating vessel 12 during the coating operation disclosed herein. In the illustrated embodiment, the radial spacing between the inner surface of vertical cylindrical wall 18 of coating

5 vessel 12 and the outer surface of hollow cylindrical drum substrate 24 during the coating process is between about 2 millimeters and about 9 millimeters in order to reduce streaks and graininess in the final coating. Preferably, the radial spacing is between about 4.5 millimeters and about 8.5 millimeters. Optimum coating layers are achieved with an axial spacing between about 5.5 millimeters and about 7.5 millimeters. Since the

10 expression "radial spacing" refers to the spacing between the outer surface of cylindrical drum substrate 24 and the inner surface of vertical cylindrical wall 18 of coating vessel 12 on only one side of the drum along an imaginary radius line, the "diametric spacing" is twice the size of the "radial spacing" because the diametric spacing includes the spaces on opposite sides of cylindrical drum substrate 24 measured along an imaginary diameter

15 line. Thus, the diametric spacing is between about 4 millimeters and about 18 millimeters.

A coating system 42 utilizing eight dip tanks 12 is shown in Fig. 6 with only four dip tanks 12 being visible. Liquid coating material 10 is fed to these coating vessels through feed lines 44 which are connected in turn through elbow fittings 46 (the

20 other four feed lines and elbow fittings not being visible in Fig. 6) to feed manifold 48. When the coating material 10 overflows from the coating vessels 12 into collecting tank 22 (shown in phantom lines), it flows by gravity (a pump may optionally be employed) to reservoir 50. From reservoir 50, the liquid coating material 10 is pumped by a suitable

pump 52 driven by motor 54 through a low pressure filter 56 into the tapered inlet of

manifold 48. All bends in the lines between reservoir 50 and the coating vessels 12

should have a large radius of curvature to maintain laminar flow motion of the liquid

coating material 10 prior to introduction into the coating vessels 12. The liquid coating

5   material 10 is delivered to the dip coating vessels 12 in laminar flow motion prior to

introduction into each coating vessel 12 to ensure laminar flow within each coating vessel

12 and to prevent the formation of defects in the applied coating 27, 29.

All feed lines 58 and 60 from reservoir 50 preferably have smooth and

electropolished interior surfaces. Thus, for example, the inner surface of each coating

10   vessel and feed lines 44, elbow fittings 46 and manifold 48 should be smooth and free of

burrs. Also, all piping should not impart sudden changes of direction or velocity to the

liquid coating material 10, particularly, the manifold 48 which delivers the liquid coating

material 10 to the individual coating vessels 12 with no change in relative velocity.

Generally, the cross-sectional area of manifold 48 should be equal to about

15   the sum of the cross-sectional areas of each of the connecting lines 44 between the

manifold 48 and the bottom inlet 14 of each coating vessel 12. Thus, all joints should

have smooth and gradual transitions with absolutely no abrupt change in direction.

Similarly, abrupt restrictions which would impede flow of the liquid coating material

should be avoided in the liquid coating material delivery system 42 between the reservoir

20   5 and the bottom inlet 14 of each coating vessel 12.

Devices which might cause a large pressure drop and disrupt laminar flow

such as conventional filters, instrumentation, including temperature probes extending into

the liquid flow path, and the like should be avoided. However, a low back pressure filter

56 and viscometer 30 may be utilized in the main feed line 58 between the manifold 48

and coating material pump 52. The coating material 10 pumped through this type of filter

undergoes very little pressure drop because of the huge area available for filtering.

Viscometer 30 is configured to avoid disruption of the laminar flow of coating material

5   10. As shown, for example, in Fig. 6, the output of viscometer 30 is coupled to an input

of the PLC 38 to provide a feedback input to the comparator 34 implemented by the PLC.

As shown, for example, in Fig. 7, the comparator 34 compares the value of the sensed

viscosity μ to the setpoint value of the viscosity μ_{set} to find the viscosity error $\Delta\mu$

represented by an error signal e. Illustratively, viscometer 30 is a plunger type

10   viscometer available from Cambridge Applied Systems, Medfield, Massachusetts, as

model # BCC-32. Those skilled in the art will recognize that other viscometers and other

sensors providing an indication of the viscosity of the coating material may be used

within the scope of the disclosure. For example, an instrument for measuring the height

of the meniscus could serve as a viscometer within the scope of the disclosure.

15   The illustrated dip coating system 42 transports and circulates liquid

coating material 10 while isolating the coating material 10 from various energy inputs or

losses in an effort to produce a consistently uniform and defect free coating. Thus, for

example, sources of heat and vibration should be isolated from the liquid coating material

in known manners.

20   The liquid coating material pump 52 preferably provides uniform delivery

of the coating liquid 10 to a manifold 48 and each coating vessel 12. The pump 52 may

be a low shear pump. Typical low shear pumps include, for example, sine pumps, auger

pumps, centrifugal pumps, oil-less diaphragm pumps (acetal, teflon). Also included are

two or three small pumps running out of phase with each other such as peristaltic pumps, sine pumps, auger pumps, centrifugal pumps, oil-less diaphragm pumps (acetal, teflon), and the like. In the illustrated embodiment, pump 52 is a gear pumps having an eight gallon per minute capacity available from Pulafeeder, Inc., a unit of IDEX Corporation,

5   Rochester, New York. as an ISOCHEM™ gear pump. Since the illustrated method 100 controls thickness of a coating 27, 29 by adjusting pump motor angular velocity α based on a sensed value of the viscosity μ, it is preferable that pump 52 be driven by an adjustable speed motor 54 such as is the case with the ISOCHEM pump.

Satisfactory results of charge transfer layer coating thicknesses may be

10   achieved with an upward liquid coating material velocity or flow rate of between about. 15 millimeters per minute and about 400 millimeters per minute between the outer surface of the drum 24 and the vertical inner wall 18 of the coating vessel 12. The results of course vary with the material used as the coating solution 10, the viscosity of the coating solution 10, the pull rate of the substrate 24 and other parameters. The illustrated

15   method 100 is practiced in an immersion coating system wherein charge transfer layer solution 10 is provided with an initial upward velocity or initial flow rate of approximately 300 millimeters per minute. This velocity is measured at the center of, i.e. midway between, the space between the cylindrical vessel wall 18 and the outer surface 32 of the drum 24 being coated as the drum 24 is being withdrawn from the liquid

20   coating mixture 10.

Electro-statographic imaging members (photoreceptors) are well known in the art. The photoreceptor may be prepared by various suitable techniques. Typically, a substrate 24 is provided having an electrically conductive surface. At least one

photoconductive layer is then applied to the electrically conductive surface. An optional

thin charge blocking layer may be applied to the electrically conductive layer prior to the

application of the photoconductive layer. For multilayered photoreceptors, a charge

generation layer is usually applied onto the blocking layer and charge transport layer is

5   formed on the charge generation layer. For single layer photoreceptors, the

photoconductive layer is a photoconductive insulating layer and no separate, distinct

charge transport layer is employed.

Any suitable size drum 24 may be coated with the process and apparatus

disclosed herein. Typical drum diameters include, for example, diameters of about 30

10   millimeters, 40 millimeters, 85 millimeters, and the like. Preferably, the surface of the

drum 24 being coated is smooth. However, if desired, it may be slightly roughened by

honing, sand blasting, grit blasting, and the like. Such slight roughening forms a surface

which varies from average diameter by less than about plus or minus 3 micrometers. The

surface of the drum being coated is preferably inert to the components in the liquid

15   coating material. The drum surface may be a bare, uncoated surface or may comprise a

previously deposited coating or coatings. The substrate 24 may be opaque or transparent

and may comprise numerous suitable materials having the required mechanical properties.

Accordingly, the substrate may comprise a layer of an electrically non-conductive or

conductive material such as an inorganic or an organic composition. As electrically non-

20   conducting materials there may be employed various resins known for this purpose

including polyesters, polycarbonates, polyamides, polyurethanes, and the like. Typical

metal substrates include, for example, aluminum, stainless steel, nickel, aluminum alloys,

and the like. The electrically insulating or conductive substrate should be rigid and in the

form of a hollow cylindrical drum. Preferably, the substrate comprises a metal such as aluminum.

The thickness of the substrate layer depends on numerous factors, including resistance to bending and economical considerations, and thus this layer for a

5   drum may be of substantial thickness, for example, about 5 millimeters, or of minimum thickness such as about 1 millimeter, provided there are no adverse effects on the final electro-statographic device.

The conductive layer may vary in thickness over substantially wide ranges depending on the optical transparency desired for the electro-statographic member.

10   Accordingly, the conductive layer and the substrate may be one and the same or the conductive layer may comprise a coating on the substrate. Where the conductive layer is a coating on the substrate, the thickness of the conductive layer may be as thin as about 50 angstroms, and more preferably at least about 100 Angstrom units for optimum electrical conductivity. The conductive layer may be an electrically conductive metal

15   layer formed, for example, on the substrate by any suitable coating technique, such as a vacuum depositing technique. Typical metals include aluminum, zirconium, niobium, tantalum, vanadium and hafnium, titanium, nickel, stainless steel, chromium, tungsten, molybdenum, and the like. Typical vacuum depositing techniques include sputtering, magnetron sputtering, RF sputtering, and the like.

20   After formation of an electrically conductive surface, a hole blocking layer may be applied thereto. Generally, electron blocking layers for positively charged photoreceptors allow holes from the imaging surface of the photoreceptor to migrate toward the conductive layer. Any suitable blocking layer capable of forming an

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electronic barrier to holes between the adjacent photoconductive layer and the underlying

conductive layer may be utilized. Typical blocking layers include, for example,

polyamides, polyvinylbutyrals, polysiloxanes, polyesters, and the like and mixtures

thereof. The blocking layer may be nitrogen containing siloxanes or nitrogen containing

5   titanium compounds such as trimethoxysilyl propylene diamine, hydrolyzed

trimethoxysilyl propyl ethylene diamine, N-beta(aminoethyl) gamma-amino-propyl

trimethoxy silane, isopropyl 4-aminobenzene sulfonyl, di(dodecylbenzene sulfonyl)

titanate, isopropyl di(4-aminobenzoyl)isostearoyl titanate, isopropyl tri(N-

ethylaminoethylamino)titanate, isopropyl trianthranil titanate, isopropyl tri(N,N-

10   dimethyl-ethylamino)titanate, titanium-4-amino benzene sulfonate oxyacetate, titanium

4-aminobenzoate isostearate oxyacetate, $(H_2N(CH_2)_4)CH_3Si(OCH_3)_2$, (gamma-

aminobutyl) methyl diethoxysilane, and $(H_2N(CH_2)_3)CH_3Si(OCH_3)_2$ (gamma-

aminopropyl) methyl diethoxysilane, as disclosed in U.S. Pat. No. 4,338,387, U.S. Pat.

No. 4,286,033 and U.S. Pat. No. 4,291,110. The disclosures of U.S. Pat. No. 4,338,387,

15   U.S. Pat. No. 4,286,033 and U.S. Pat. No. 4,291,110 are incorporated herein in their

entirety.

For convenience in obtaining thin layers, the blocking layers are

preferably applied in the form of a dilute solution, with the solvent being removed after

deposition of the coating by conventional techniques such as by vacuum, heating and the

20   like. The blocking layer should be continuous and have a thickness of less than about 0.2

micrometer because greater thicknesses may lead to undesirably high residual voltage.

Drying of the deposited coating may be effected by any suitable conventional technique

such as oven drying, infra red radiation drying, air drying and the like. It is within the

scope of the disclosure for the disclosed method to be used to control the thickness of a blocking layer applied to a photoreceptor.

Any suitable photogenerating layer may be applied to the blocking layer. Examples of typical photogenerating layers include inorganic photoconductive particles

5 such as amorphous selenium, trigonal selenium, and selenium alloys selected from the group consisting of selenium-tellurium, selenium-tellurium-arsenic, selenium arsenide and mixtures thereof, and organic photoconductive particles including various phthalocyanine pigment such as the X-form of metal free phthalocyanine described in U.S. Pat. No. 3,357,989, metal phthalocyanines such as vanadyl phthalocyanine and

10 copper phthalocyanine, dibromoanthanthrone, squarylium, quinacridones available from DuPont under the tradename Monastral Red, Monastral violet and Monastral Red Y, Vat orange 1 and Vat orange 3 trade names for dibromo anthanthrone pigments, benzimidazole perylene, substituted 2,4-diamino-triazines disclosed in U.S. Pat. No. 3,442,781, polynuclear aromatic quinones available from Allied Chemical Corporation

15 under the tradename Indofast Double Scarlet, Indofast Violet Lake B, Indofast Brilliant Scarlet and Indofast Orange, and the like dispersed in a film forming polymeric binder. Multi-photogenerating layer compositions may be utilized where a photoconductive layer enhances or reduces the properties of the photogenerating layer. Examples of this type of configuration are described in U.S. Pat. No. 4,415,639, the entire disclosure of this patent

20 being incorporated herein by reference. Other suitable photogenerating materials known in the art may also be utilized, if desired. Charge generating binder layers comprising particles or layers comprising a photoconductive material such as vanadyl phthalocyanine, metal free phthalocyanine, benzimidazole perylene, amorphous selenium, trigonal

selenium, selenium alloys such as selenium-tellurium, selenium-tellurium-arsenic,

selenium arsenide, and the like and mixtures thereof are especially preferred because of

their sensitivity to white light. Vanadyl phthalocyanine, metal free phthalocyanine and

tellurium alloys are also preferred because these materials provide the additional benefit

5   of being sensitive to infra-red light. Generally, the average particle size of the pigment

dispersed in the charge generating layer is less than about 1 micrometer. A preferred

average size for pigment particles is between about 0.05 micrometer and about 0.2

micrometer.

Any suitable polymeric film forming binder material may be employed as

10   the matrix in the photogenerating binder layer. Typical polymeric film forming materials

include those described, for example, in U.S. Pat. No. 3,121,006, the entire disclosure of

which is incorporated herein by reference. Thus, typical organic polymeric film forming

binders include resins such as polyvinylbutyral, polycarbonates, polyesters, polyamides,

polyurethanes, polystyrenes, polyarylethers, polyarylsulfones, polybutadienes,

15   polysulfones, polyethersulfones, polyethylenes, polypropylenes, polyimides,

polymethylpentenes, polyphenylene sulfides, polyvinyl acetate, polysiloxanes,

polyacrylates, polyvinyl acetals, polyamides, polyimides, amino resins, phenylene oxide

resins, terephthalic acid resins, phenoxy resins, epoxy resins, phenolic resins, polystyrene

and acrylonitrile copolymers, polyvinylchloride, vinylchloride and vinyl acetate

20   copolymers, acrylate copolymers, alkyd resins, cellulosic film formers, poly(amideimide),

styrene-butadiene copolymers, vinylidenechloride-vinylchloride copolymers,

vinylacetate-vinylidenechloride copolymers, styrene-alkyd resins, polyvinylcarbazole,

and the like and mixtures thereof. These polymers may be block, random or alternating

copolymers.

Any suitable solvent may be employed to dissolve the film forming binder. Typical solvents include, for example, n-butyl acetate, methylene chloride, tetrahydrofuran, cyclohexanone, iso-butyl acetate, toluene, methyl ethyl ketone, and the

5   like.

Satisfactory results may be achieved with a pigment to binder weight ratio of between about 40:60 and about 95:5. Preferably, the pigment to binder ratio is between about 50:50 and about 90:10. Optimum results may be achieved with a pigment to binder ratio of between about 60:40 and about 80:20 ratio.

10   Various factors affect the thickness of the deposited charge generating layer coating. These factors include, for example, the solids loading of the total liquid coating material, the viscosity of the liquid coating material, and the or differential relative velocity of the liquid coating material in the space between the drum surface and coating vessel wall. Satisfactory results are achieved with a solids loading of between

15   about 2 percent and about 12 percent based on the total weight of the liquid coating material; the "total weight of the solids" being the combined weight of the film forming binder and pigment particles and the "total weight of the liquid coating material" being the combined weight of the film forming binder, the solvent for the binder and pigment particles. Preferably, the liquid coating mixture has a solids loading of between about 3

20   percent and about 8 percent by weight based on the total weight of the liquid coating material.

The thickness of the deposited coating varies with the specific solvent, film forming polymer and pigment materials utilized for any given coating composition.

For thin coatings, a relatively slow drum withdrawal (pull) rate is desirable when utilizing

high viscosity liquid coating materials. Generally, the viscosity of the liquid coating

material varies with the solids content of the liquid coating material. Satisfactory results

may be achieved with viscosities between about 1 centipoise and about 100 centipoises.
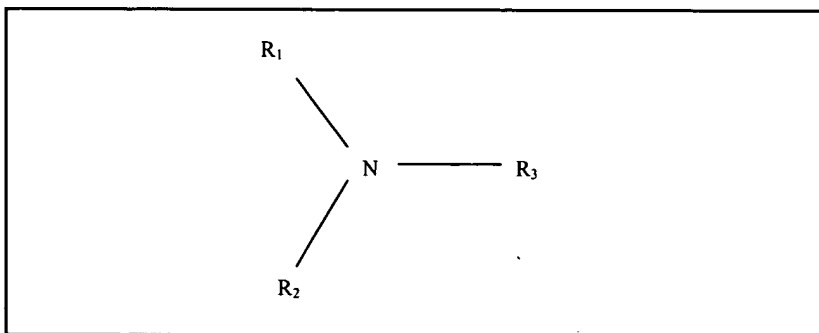
5   Preferably, the viscosity is between about 2 centipoises and about 10 centipoises.

The photogenerating composition or pigment is present in the resinous

binder composition in various amounts, generally, however, from about 5 percent by

volume to about 90 percent by volume of the photogenerating pigment is dispersed in

about 10 percent by volume to about 95 percent by volume of the resinous binder, and

10   preferably from about 20 percent by volume to about 30 percent by volume of the

photogenerating pigment is dispersed in about 70 percent by volume to about 80 percent

by volume of the resinous binder composition. In one embodiment about 8 percent by

volume of the photogenerating pigment is dispersed in about 92 percent by volume of the

resinous binder composition.

15   After drying, the deposited charge generating layer thickness generally

ranges in thickness of from about 0.1 micrometer to about 5 micrometers, and preferably

between about 0.05 micrometer and about 2 micrometers. The desired photogenerating

layer thickness is related to binder content. Higher binder content compositions generally

require thicker layers for photogeneration. Thicknesses outside these ranges can be

20   selected. It is within the scope of the disclosure for the charge generating layer to be

applied to the photoreceptor substrate 24 using the disclosed method 100 with the various

setpoints, limits and pull rates and differential rates adjusted to obtain the desired

thickness.

The active charge transport layer may comprise an activating compound

useful as an additive dispersed in electrically inactive polymeric materials to render these

materials electrically active. These activating compounds may be added to polymeric

materials which are incapable of supporting the injection of photogenerated holes from

5   the generation material and incapable of allowing the transport of these holes

therethrough. This will convert the electrically inactive polymeric material to a material

capable of supporting the injection of photogenerated holes from the generation material

and capable of allowing the transport of these holes through the active layer in order to

discharge the surface charge on the active layer.

10   A typical transport layer employed in one of the two electrically operative

layers in multilayered photoconductors comprises from about 25 percent to about 75

percent by weight of at least one charge transporting aromatic amine compound, and

about 75 percent to about 25 percent by weight of a polymeric film forming resin in

which the aromatic amine is soluble. The charge transport layer forming mixture may,

15   for example, comprise an aromatic amine compound of one or more compounds having

the general formula:

$$
\begin{array}{c}
R_1 \\
\diagdown \\
N \text{——} R_3 \\
\diagup \\
R_2
\end{array}
$$

wherein R_1 and R_2 are an aromatic group selected from the group consisting of a

substituted or unsubstituted phenyl group, naphthyl group, and polyphenyl group and R_3

is selected from the group consisting of a substituted or unsubstituted aryl group, alkyl group having from 1 to 18 carbon atoms and cycloaliphatic compounds having from 3 to 18 carbon atoms. The substituents should be free from electron withdrawing groups such as NO_2 groups, CN groups, and the like. Examples of charge transporting aromatic

5   amines represented by the structural formulae above for charge transport layers capable of supporting the injection of photogenerated holes of a charge generating layer and transporting the holes through the charge transport layer include triphenylmethane, bis(4-diethylamine-2-methylphenyl)phenylmethane; 4'-4"-bis(diethylamino)-2',2"-dimethyltriphenylmethane, N,N'-bis(alkylphenyl)-(1,1'-biphenyl)-4,4'-diamine wherein

10   the alkyl is, for example, methyl, ethyl, propyl, n-butyl, etc., N,N'-diphenyl-N,N'-bis(chlorophenyl)-(1,1'-biphenyl)-4,4'-diamine, N,N'-diphenyl-N,N'-bis(3"-methylphenyl)-(1,1'-biphenyl)-4,4'-diamine, and the like dispersed in an inactive resin binder.

Any suitable inactive resin binder soluble in methylene chloride or other

15   suitable solvent may be employed in the photoreceptor. Typical inactive resin binders soluble in methylene chloride include polycarbonate resin, polyvinylcarbazole, polyester, polyarylate, polyacrylate, polyether, polysulfone, and the like. Molecular weights can vary, for example, from about 20,000 to about 150,000.

Any suitable and conventional technique may be utilized to mix the charge

20   transport layer coating mixture. A preferred coating technique utilizes the dip coating system and method of controlling the thickness of a coating disclosed herein. Various factors affect the thickness of the dip deposited charge transport layer coating. These factors include, for example, the solids loading of the total liquid coating material, the

viscosity of the liquid coating material, and the relative velocity or differential rate of the liquid coating material 10 in the space between the drum surface 32 and coating vessel wall 18. Satisfactory results are achieved with a solids loading of between about 15 percent and about 35 percent based on the total weight of the liquid coating material 10;

5   the "total weight of the solids" being the combined weight of the film forming binder and the activating compound and the "total weight of the liquid coating material" being the combined weight of the film forming binder, the activating compound and the solvent for the binder and activating compound. Preferably, the liquid charge transport layer coating mixture has a solids loading of between about 3 percent and about 6 percent by weight

10   based on the total weight of the liquid coating material. The thickness of the deposited coating varies with the specific solvent, film forming polymer and activating compound utilized for any given coating composition.

         For thin coatings, a relatively slow drum withdrawal (pull) rate is desirable when utilizing high viscosity liquid coating materials. Generally, the viscosity of the

15   liquid coating material varies with the solids content of the liquid coating material. While the disclosed method, describes a CTL having a viscosity of 300 centipoises, satisfactory results may be achieved with viscosities between about 100 centipoise and about 1000 centipoises. Preferably, the viscosity is between about 200 centipoises and about 500 centipoises. Drying of the deposited coating may be effected by any suitable

20   conventional technique such as oven drying, infra red radiation drying, air drying and the like.

         Generally, the thickness of the hole transport layer is between about 10 to about 50 micrometers after drying, but thicknesses outside this range can also be used.

The hole transport layer should be an insulator to the extent that the electrostatic charge placed on the hole transport layer is not conducted in the absence of illumination at a rate sufficient to prevent formation and retention of an electrostatic latent image thereon. In general, the ratio of the thickness of the hole transport layer to the charge generator layer

5   is preferably maintained from about 2:1 to 200:1 and in some instances as great as 400:1.

Examples of photosensitive members having at least two electrically operative layers include the charge generator layer and diamine containing transport layer members disclosed in U.S. Pat. Nos. 4,265,990, 4,233,384, 4,306,008, 4,299,897 and 4,439,507. The disclosures of these patents are incorporated herein in their entirety. The

10   photoreceptors may comprise, for example, a charge generator layer sandwiched between a conductive surface and a charge transport layer as described above or a charge transport layer sandwiched between a conductive surface and a charge generator layer.

Optionally, an overcoat layer may also be utilized to improve resistance to abrasion. Overcoatings are continuous and generally have a thickness of less than about

15   10 micrometers.

The disclosed method of controlling the thickness of a coating applied by dipping an article in a solution of the coating is described with regard to controlling the thickness of a CTL coating of a photoreceptor. It is within the scope of the disclosure to utilize the disclosed method 100 to control the thickness of other coatings on a

20   photoreceptor such as the disclosed charge generator layer, hole-blocking layer or over coat layer when such layers are applied using an immersion coating process. It is also within the scope of the disclosure to utilize the disclosed thickness control method when immersion coating other articles with other solutions.

As shown for example in Figs. 6 and 7, the coating solution circulation system includes a motor 54 driven pump 52 that circulates CTL solution 10 through a pipe 58 fluidly coupled to an inlet 14 of the dip tube 12. Pump 52 forces CTL solution 10 through the pipe 58 and inlet 14 into the dip tube 12. During start-up, the CTL solution

5   10 flows into and fills the dip tube 12 to the point of overflowing the top 20. CTL solution 10 flowing out of the top opening 20 of the dip tube 12 is collected in a reservoir for re-circulation by the pump 52.

In the illustrated embodiment, when the motor 54 of the pump 52 is turning at an angular velocity α, the CTL solution 10 exhibits a flow rate through the dip

10   tube 12. In the illustrated embodiment, the flow rate is initially set to approximately five millimeters per second (i.e. 300 mm/min.) by setting the pump rate to 3.2 gallons per minute by adjusting the angular velocity of the motor 54 driving the pump 52 to approximately 40% of the rated angular velocity of the motor 54. Thus, in the disclosed system 42, the flow rate of the CTL solution 10 can be adjusted by adjusting the angular

15   velocity of the motor 54 driving the pump 52.

It has been found that as the angular velocity of the motor 54 driving the pump 52 increases the viscosity of the CTL solution 10 decreases. Thus, if the angular velocity of the motor 54 driving the pump 52 is increased, the vertical flow rate of the CTL solution 10 is increased, the differential rate is decreased and the height 62, 64 of

20   the meniscus 66, 68 formed between the surface 70 of the CTL solution 10 and the surface 32 of the substrate 34 is decreased resulting in a thinner coating adhering to the substrate 24. Over time, the viscosity of the CTL solution 10 also decreases as a result of the increase in angular velocity of the motor 54 driving the pump 52. A decrease in CTL

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solution viscosity causes the height 62, 64 of the meniscus 66, 68 formed between the

surface 70 of the CTL solution 10 and the surface 32 of the substrate 24 to decrease. This

results in a thinner layer of CTL adhering to the substrate 24. Thus, if the angular

velocity of the motor 54 is increased, an almost immediate thinning of the coating layer

5   27, 29 is achieved as a result of the change in the differential rate resulting from the

change in the flow rate. Over time, the coating layer 27, 29 will continue to become

thinner as the viscosity of the CTL is lowered by the increase in the motor angular

velocity. The opposite effects on differential rate, flow rate, meniscus height and

viscosity are induced by decreases in angular velocity of the motor 54 driving the pump

10   52.   As previously stated, in the illustrated embodiment, pump 52 and motor

54 are an ISOCHEM 8gpm motor driven gear pump available from, Pulafeeder, Inc., a

unit of IDEX Corporation, Rochester, New York. These self-priming pumps yield a

constant volume for a particular drive speed and provide linear pulsation-free flows. It is

within the scope of the disclosure to use other types of pumps and prime movers

15   actuating the pump to be used. It is preferable that the prime mover actuating the pump

be able to be driven by a variable speed controller. Illustratively, pump driving circuit 72

includes a variable speed controller implemented using a controller 36 and a motor driver

40. Such variable speed controllers can be implemented using programmable logic

circuits 38 such as the illustrated PLC-5 available from Allen Bradley, a division of

20   Rockwell Automation, Milwaukee, Wisconsin. In the illustrated embodiment, the PLC-5

implements not only the controller 36 and the driver 40 but also the comparator 34 for

comparing the sensed viscosity to the setpoint viscosity. Other commercially available

controllers may be utilized within the scope of the disclosure. It is also within the scope

of the disclosure to use other logic circuits, processors, controllers, microprocessors, micro-controllers, programmable logic arrays or other components to implement a motor controller to vary the angular velocity of the motor 54 driving the pump 52.

A motor control software package is resident on the illustrated PLC 38.

5   Illustratively software package is Allen Bradley, PLC-5 Ladder logistics type. It is also within the scope of the disclosure to use SLC50 software or other similar motor control software.

The disclosed embodiment of the closed loop control system for an OPC coating operation controls the viscosity of the coating fluid by controlling the pump

10   speed. A viscometer 30 provides feedback to control the motor 54 of the fluid pump 52 to control the viscosity and flow rate of the coating fluid 10 in the critical initial portion of the coating where the sloping defect is often encountered. Sloping is a change in thickness as the drum 24 is pulled out of the dip solution 10. Slight changes in the viscosity of the coating solution 10 have been seen to change the thickness of the coating

15   27, 29 in this sensitive region. In some coating applications, a change of plus or minus 10 centipoise (10cP) has been found to change the coating thickness enough to put it out of specification. In the illustrated embodiment of the coating method, a change of plus or minus 20 centipoise (20cP) from the desired viscosity of the coating solution 10 is near the limit of tolerable viscosity error to obtain a coating 27, 29 of desired thickness.

20   By changing the angular velocity of the pump's motor 54 by two or three percent of the rated velocity, the viscosity of the coating solution 10 is controlled within limits and thus the coating uniformity and thickness is controlled. By programming the programmable logic controller 38 and providing the PLC 38 with an input from a

viscometer 30, automatic adjustment of the viscosity is achieved and improved coating quality and yield are achieved. By regulating viscosity and flow rate of the coating solution 10 and the differential rate by regulating the angular velocity of the motor 54 driving the pump 52 more precise control over the uniformity and thickness of the OPC

5   coating 27, 29 can be obtained than by simply adjusting the pull rate.

It is within the scope of this disclosure to use the illustrated method 100 to control the uniformity and thickness of the CTL layer 27, 29 through the use of the pump speed as a controlled parameter. To increase cycle times of photoresistor fabrication lines, it may be necessary for the CTL solution viscosity to be lowered. When viscosity

10   is lowered, the quality of the CTL layer can go down Examination of pump speeds and viscosity in coating solution supply subsystems has established that, within limits, as pump speed increases, viscosity of the coating solution decreases. Thus, viscosity is inversely proportional to pump speed, within limits. Therefor, if the pump speed is increased, viscosity of the coating solution will be decreased.

15   In the prior art immersion coating systems, a CTL solution cart was utilized to provide CTL solution to an immersion coating system. Occasionally, operators lost control of the viscosity of the CTL coating solution in the CTL cart. When that happened the thickness of the CTL coating 27, 29 on the substrate 24 was outside of specifications by being either too thick or thin depending on the direction of the viscosity

20   of the CTL solution 10 was out of specification. It has been found that if the viscosity CTL solution 10 is outside the specified parameters in either direction by more than 10 cP, changing the pump speed by two to three percent can bring the thickness of the CTL coating 27, 29 on the photoreceptor 24 back into the specified range of thickness. Since

viscosity can be measured automatically using a viscometer 30, automatic control of the

pump motor speed can be implemented. This control method can be implemented by

adding a viscometer 30 to existing immersion control systems, coupling the viscometer

output as an input to the PLC 38 typically present on the immersion coating system to

5   control pump speed and other parameters and programming the PLC 38 to control the

angular velocity of the motor 54 driving the pump 52 in response to the input from the

viscometer 30. The PLC 38 receiving the viscosity information as an input changes the

pump speed without input from the operator. Thus, the disclosed method 100 is more

robust than the current set up. The disclosed method 100 and device 42 reduce losses of

10   product in the immersion coating plants by reducing out of specification CTL layers 27,

29.

         Reference is now made to Figs. 4 and 5 illustrating the ramifications of

changes in viscosity and differential rates on coating thicknesses. The differences

illustrated in Figs. 4 and 5 can be realized through either changing the viscosity of the

15   coating solution or changing the differential rate. It has been found that as the angular

velocity of the motor 54 driving the pump 52 is lowered, the height 64 of the meniscus 68

formed between the surface 70 of the coating solution 10 and the surface 32 of the

substrate 24 being withdrawn from the coating solution 10 increases, as shown, for

example, in Fig. 5. This results in the thickness 76 of the coating 29 being thicker.

20   Similarly, as the angular velocity of the motor 54 driving the pump 52 is increased, the

height 62 of the meniscus 66 formed between the surface 70 of the coating solution 10

and the surface 32 of the substrate 24 being withdrawn from the coating solution 10

decreases, as shown, for example, in Fig. 4. This results in the thickness 74 of the

coating 27 being thinner.

It has been recognized that a driving factor in the dried thickness of the

layer is the meniscus height during the coating operation. Historically, the meniscus

5   height has been controlled by varying the rate at which the photoreceptor is extracted

form the coating dip tube. This process works very well but changes to the profile cannot

be implemented in a timely manner. Thus, the thickness of the coating on at least one

photoreceptor must approach or exceed the acceptable limits and that photoreceptor must

be tested by quality control before the pull rate can be modified. It has been found that

10   the meniscus height can be controlled by modifying pump speed, within limits, so as to

achieve the same results recognized by modification of the pull rate. Since pump speed

can easily be modified during the dipping cycle, thickness of a coating layer can be

controlled with feedback. Since the meniscus height is proportional to the viscosity of

the solution, a viscometer 30 can provide a feedback signal for controlling the angular

15   velocity of the motor 54 driving the pump 52. Thus, by automatically or manually

monitoring viscosity, pump speeds can be adjusted instantly when viscosity begins to

deviate from its setpoint. Thus the meniscus height and thickness of the coating layer on

the photoreceptor is controlled reducing loss of product.

By way of example, a prior art immersion coating system has been found

20   to produce photoreceptors having a CTL layer of a desired thickness when the CTL

solution viscosity is 300 centipoise, the pump speed is at 40% providing a vertical flow

rate of 300 mm/min. and the substrate 24 is withdrawn from the dip tank 12 at a pull rate

of 125 mm/min. Under the prior art process, if the viscosity of the CTL solution 10 were

to climb to 320cP, the thickness of the CTL layer on the photoreceptor would reach the

upper limit of the thickness specifications. In the prior art manufacturing method, the

batch being dipped at the time of the viscosity increase beyond 320 cP would likely be

marked as rejects and scrapped as the coating thickness would likely be out of

5   specification when tested. To compensate for the viscosity increase, in the prior process,

the pull rate would be dropped to 120 mm/min to lower the height of the meniscus.

However, if the viscosity is again adjusted toward the desired value of 300 cP the 120

mm/min. pull rate would result in the CTL layer on the photoreceptor being too thin

again resulting in rejection of the part by quality control. If, once the viscosity value is

10   within 10cP of the setpoint, operators change the pull rate back to the nominal pull rate

scrap could be reduced. However, if the pull rate is not adjusted and if the viscosity

returns to normal during a coating cycle, the coating on the article would likely be too

thin and that batch would be marked as rejects.

Under the disclosed method 100, the viscosity is initially set to 300cP, the

15   pull rate is initially set to 125 mm/min. and the initial angular velocity of the motor is set

to 40% to produce a vertical flow rate of 300 mm/min. for the solution within the dip tank.

As shown, for example, in Fig. 8, the viscosity setpoint μ_{set} is set in step 122, the pull rate

is set in step 124 and the initial angular velocity α_0 of the motor 54 driving the pump 52 is

set in step 132 of the initialization step 120. In the illustrated device 42 and method 100,

20   the viscosity setpoint μ_{set}, initial angular velocity α_0 and pull rate is stored in the PLC 38.

A viscosity meter 30 constantly monitors the viscosity μ of the CTL solution 10 in step

136 and provides feedback to the motor controller 38. The motor controller 38 compares

the sensed viscosity μ to the setpoint viscosity μ_{set} in step 140 and adjusts the motor

speed accordingly in step 180.

For example, if the viscometer 30 senses that the viscosity of the CTL

solution 10 has risen to 320 cP, the motor controller 38 recognizes the +20 cP error and

5   adjusts the motor angular velocity to 43%. This sensing and adjustment takes place while

the substrate 24 is in the dip tank 12 and during withdrawal of the substrate 24 from the

dip tank 12. In other words, sensing and control are implemented during the dipping

cycle. Thus, even if the viscosity changes during a dip cycle, adjustments are made to the

motor speed and the upward flow rate to adjust the meniscus height to avoid coating the

10   substrate with a CTL layer that is not within tolerances. The immersion coating process

continues normally. In the illustrated device 42 and method 100, once the viscosity μ

drops to a value that is ± 10cP of the setpoint μ_0, the motor controller 38 adjusts the pump

speed back to the nominal 40%.

Thus, as shown, for example, in Fig. 8, the coating process is initialized in

15   step 120. Initialization 120 includes the steps of determining the desired thickness of the

coating to be applied to the article and based upon this desired thickness establishing a

viscosity setpoint μ_{set} 122 of the solution, establishing a differential rate 124 between the

vertical flow rate of the solution and the pull rate of the article. Thus, the step of

establishing a differential rate 124 includes the steps of establishing a pull rate 126 of the

20   article and establishing an initial vertical flow rate 128 of the solution. When

implemented in the disclosed immersion coating system, the establishing an initial flow

rate step 128 is accomplished by establishing an initial pump rate 130. In the illustrated

immersion coating system 42 and method 100 wherein the pump 52 is directly driven by

a motor 54, the establishing an initial pump rate step 130 is accomplished by establishing

an initial motor angular velocity α_0 132. When a PWM motor controller is utilized to

drive the motor 54 driving the pump 52, the establishing an initial motor angular velocity

step 132 comprises establishing an initial PWM duty cycle 134 of the motor 54. In the

5   illustrated embodiment, the establishing a differential rate step 124 is illustratively

accomplished by establishing a pull rate of 125 mm/min. and an initial vertical flow rate

of 300 mm/min. which is achieved by setting the initial pump speed at 40% by setting the

initial controller output to provide a 40% of the rated angular velocity to the motor driver

circuitry. Those skilled in the art will recognize that the values selected in the

10 initialization step 120 are dependent upon the coating process being implemented. The

process of selecting the appropriate initial values for viscosity, pull rate and pump speed

to obtain the desired coating thickness is well known.

Those skilled in the art will recognize that the initialization step 120 goes

beyond merely determining values but includes the necessary steps of providing an

15 immersion coating apparatus configured to immerse articles in a dip tank and withdraw

them at the set pull rate and to pump solution initially having a viscosity approximately

equal to the setpoint value through the dip tank at the desired vertical flow rate. Once the

system is initialized, the motor 54 driving the pump 52 is driven at the initial angular

velocity to generate an initial vertical flow rate in the dip tank 12. The viscosity of the

20 solution 10 is sensed 136. Illustratively, the viscosity sensing step 136 is accomplished

by providing a viscometer 30 positioned to sense the viscosity of the solution 138 and

analyzing the output of the viscometer 30.

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Once the viscosity has been sensed 136, it is determined in step 140 whether it is necessary to adjust the differential rate based upon the sensed viscosity reading. If it is not necessary to adjust the differential rate, the sensing step 136 and determination step 140 are repeated. If it is necessary to adjust the differential rate, the

5   differential rate is adjusted in step 180 and then the sensing step 136 and determination step 140 are repeated.

As shown for example, in Fig. 9, in the illustrated embodiment, the determination step 140 includes multiple sub-steps. Fig. 9 shows the sub-steps performed by the disclosed system 42. As part of the initialization step 120 in the disclosed system

10   42, a first viscosity deviation limit $\Delta\mu_1$ is established 142 and a second viscosity deviation limit $\Delta\mu_2$ is established 144. The first viscosity deviation limit $\Delta\mu_1$ is the minimum value by which the viscosity μ of the coating solution 10 must differ from the setpoint viscosity μ_{set} before the flow rate is changed from the initial flow rate to some other value. The second viscosity deviation limit $\Delta\mu_2$ is the value that the absolute value

15   of the difference between the sensed viscosity μ and the setpoint viscosity μ_{set} can not exceed in order for the flow rate to be returned to the initial flow rate.

First, a comparison step 146 is performed to compare the sensed viscosity μ and the setpoint viscosity μ_{set} to determine a viscosity error $\Delta\mu$. In the illustrated device 42, the viscosity error $\Delta\mu$ is represented by a signal e output by the comparator 34

20   that acts as an input to the motor controller 72 comprising a controller 36 and a motor driver circuit 40. In the illustrated device 42, the comparator34, controller 36 and motor driver are all implemented by software on the PLC 38

After the comparison step 146 is performed, a step 148 is performed to determine whether the current flow rate is equal to the initial flow rate. Referring to step 128, those skilled in the art will recognize that step 148 may be performed by determining if the current pump rate is equal to the initial pump rate, determining if the

5 current motor angular velocity α is equal to the initial motor angular velocity α_0, or determining if the current duty cycle of the PWM driving the motor is equal to the initial PWM duty cycle. Other parameters of the system can be compared to initial parameters of the system to determine if the current flow rate is equal to the initial flow rate in step 148 within the scope of the disclosure. Thus, the presence of the " $\alpha = \alpha_0$?" language in

10 block 148 should not be seen as limiting the manner of performing step 148. Similarly, the presence of any abbreviations in the drawings should not be interpreted as narrowing the scope of the claims.

If the current flow rate is equal to the initial flow rate, illustratively if the motor speed is 40% or $\alpha = \alpha_0$, then the absolute value of the viscosity error $|\Delta\mu|$ is

15 compared to the first viscosity deviation limit $\Delta\mu_1$ in step 150. If the absolute value of the viscosity error $|\Delta\mu|$ is not greater than or equal to the first viscosity deviation limit $\Delta\mu_1$, the flow rate is not changed and the sensing step 136 and comparing step 140 are repeated. If the absolute value of the viscosity error $|\Delta\mu|$ is greater than or equal to the first viscosity deviation limit $\Delta\mu_1$, the flow rate change step 180 is performed. During the

20 flow rate change step 180 in the illustrated embodiment, the flow rate is changed from the initial flow rate to an upper or lower flow rate limit depending on whether the sensed viscosity μ is greater than or less than the setpoint viscosity μ_{set}, i.e. whether $\Delta\mu$ is positive or negative.

If the current flow rate is not equal to the initial flow rate, illustratively if the motor speed is higher or lower than 40% or $\alpha \neq \alpha_0$, then the absolute value of the viscosity error $|\Delta\mu|$ is compared to the second viscosity deviation limit $\Delta\mu_2$ in step 152. If the absolute value of the viscosity error $|\Delta\mu|$ is not less than the second viscosity

5   deviation limit $\Delta\mu_2$, the flow rate is not changed and the sensing step 136 and comparing step 140 are repeated. If the absolute value of the viscosity error $|\Delta\mu|$ is less than the second viscosity deviation limit $\Delta\mu_2$, the flow rate change step 180 is performed. During the flow rate change step 180 in the illustrated embodiment, the flow rate is changed back to the initial flow rate from the upper or lower flow rate limit.

10   As shown for example, in Fig. 10, in the illustrated embodiment, the flow rate change step 180 includes multiple sub-steps. Fig. 10 shows the sub-steps performed by the disclosed system. As part of the initialization step 120 in the disclosed system, an upper flow rate limit is established by establishing an upper motor angular velocity α_{max} in step 182 and a lower flow rate limit is established by establishing an upper motor

15   angular velocity α_{min} in step 184.

A step 186 is performed to determine whether the current flow rate is equal to the initial flow rate. Referring to step 128, those skilled in the art will recognize that step 186 may be performed by determining if the current pump rate is equal to the initial pump rate, determining if the current motor angular velocity α is equal to the initial

20   motor angular velocity α_0, or determining if the current duty cycle of the PWM driving the motor is equal to the initial PWM duty cycle. Other parameters of the system can be compared to initial parameters of the system to determine if the current flow rate is equal to the initial flow rate in step 186 within the scope of the disclosure.

The illustrated embodiment only permits the motor to be run at the initial angular velocity α_0 or at one or the other of the angular velocity upper limit α_{max} or the angular velocity lower limit α_{min}. Thus, if step 180 is reached, the angular velocity of the motor is either set to the initial angular velocity α_0 if the motor is currently running at one

5   or the other of the angular velocity upper limit α_{max} or the angular velocity lower limit α_{min} or to one or the other of the angular velocity upper limit α_{max} or the angular velocity lower limit α_{min} if the motor is currently running at the initial angular velocity α_0.

In the illustrated embodiment, if the current flow rate is not equal to the initial flow rate, in step 188 the flow rate is changed back to the initial flow rate. If the

10   change flow rate step 180 is reached and the current flow rate is not the initial flow rate, then the flow rate is changed back to the initial flow rate. In the illustrated embodiment, the motor angular velocity α is set to the initial motor angular velocity α_0 in step 188.

In the illustrated embodiment, if the current flow rate is equal to the initial flow rate, in step 190 it is determined whether the viscosity error $\Delta\mu$ is positive or

15   negative. If the viscosity error $\Delta\mu$ is positive, the flow rate is increased in step 192. In the illustrated embodiment, this increase in flow rate is implemented by changing the motor angular velocity to the angular velocity upper limit α_{max} in step 192. If the viscosity error $\Delta\mu$ is negative, the flow rate is decreased in step 194. In the illustrated embodiment, this decrease in flow rate is implemented by changing the motor angular

20   velocity to the angular velocity lower limit α_{min} in step 194.

Once the flow rate is changed in step 180 by implementing either step 188, step 192 or step 194, the sensing step 136 and determining step 140 are repeated.

In the disclosed embodiment, flow rate of the solution is adjusted in response to the viscosity error. Those skilled in the art will recognize that increasing the flow rate has a similar effect as that caused by decreasing the pull rate since both affect the differential rate. Similarly, decreasing the flow rate has a similar effect to increasing

5 the pull rate. However, it is believed that adjusting the flow rate by adjusting the speed of the motor driving the pump affects not only the differential rate but also affects the viscosity of the solution being pumped. The height of the meniscus formed between the surface of the solution and the surface of the substrate being coated is affected by both the differential rate and the viscosity of the solution. As previously mentioned, the height

10 of the meniscus affects the thickness of the coating adhering to the substrate.

The prior art immersion coating systems and methods of controlling coating layer thickness in an immersion coating process adjusted the pull rate to control the thickness of the layer deposited on the article being coated. This modification in the pull rate was implemented between dipping cycles, not during dipping cycles. The

15 disclosed system and method adjust the differential rate during dipping cycles by adjusting the flow rate. While the illustrated device leaves the pull rate constant, and adjusts the motor angular velocity, it is within the scope of the disclosure to adjust the pull rate during a dipping cycle based on the value of the sensed viscosity.

Additionally, the disclosed system implements flow rate control by using a

20 motor controller to control the angular velocity of the motor driving the pump in response to the sensed viscosity. The illustrated method requires the viscosity error to reach certain specified limits before adjustments are made to the motor angular velocity. In the illustrated system and method, once those limits are reached, only incremental changes

are made to the angular velocity of the motor. Implementation of the illustrated system 42 and method 100 requires very little memory and processing power and can be implemented using relay ladder logic controllers like the illustrated PLC 38. Thus the illustrated system and method can be implemented in immersion coating systems having

5 little memory or processing power. The illustrated method and system, thus can be implemented in most existing immersion coating systems at a very low cost.

However, it is within the scope of the disclosure for a more robust system to be implemented wherein the viscosity error signal is used to implement proportion, differential, integral, PI, PD, PID or another control algorithm to control the differential

10 rate. This control of the differential rate may include controlling the pull rate and/or controlling the flow rate by controlling the pump rate, the motor angular velocity, the duty cycle of the motor driver or other parameter of the system. The envisioned robust control system could be implemented using continuous control of the flow rate wherein the flow rate is variable continuously or using incremental control of the flow rate

15 wherein the flow rate is variable incrementally.

Such continuous or incremental alternative control systems may be bounded systems wherein the flow rate is controlled within limits. In the illustrated embodiment, the angular velocity upper limit is 43 % and the angular velocity lower limit is 37% based on an initial angular velocity of 40%. It is within the scope of the

20 disclosure for the bounds of the control system to be set at the disclosed limits or at other limits. It was found during testing of certain immersion coating apparatus that adjustments to flow rates could compensate for changes in viscosity within limits. The

illustrated limits are those discovered in testing. It is within the scope of the disclosure to use different limits on flow rate adjustment.

While the disclosed methods and apparatus are described with reference to a manufacturing process whereby a photoreceptor drum of a xerography machine is

5   coated with a charge transfer layer by immersion coating the drum, the disclosure will be applicable to other manufacturing processes and to components of other devices that receive a controlled layer of material.

Although the invention has been described with reference to specific preferred embodiments, it is not intended to be limited thereto, rather those having

10   ordinary skill in the art will recognize that variations and modifications may be made therein which are within the spirit of the invention and within the scope of the claims.